



Image

1634

PATENT

Docket No.: 8325-0011.21 (S11-US3)

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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*April Reeves*  
April Reeves

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Q. Liu *et al.*

Application No.: 09/990,186

Filed: November 20, 2001

For: POSITION DEPENDENT RECOGNITION  
OF GNN NUCLEOTIDE TRIPLETS BY  
ZINC FINGERS

Examiner: A.K. Chakrabarti

Group Art Unit: 1634

Confirmation No.: 1799

TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find the following:

1. Form PTOL-413A (Applicant Initiated Interview Request Form): 1 page
2. Return postcard

Pursuant to MPEP 713.01, an Applicant Initiated Interview Request Form is enclosed. No fee is believed to be due.

Respectfully submitted,

Date: January 23, 2004

By: *Sean Brennan*

Sean M. Brennan  
Registration No. 39,917

Sangamo BioSciences, Inc.  
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Richmond, California 94804  
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### Applicant Initiated Interview Request Form

Application No.: 09/990,186 First Named Applicant: Liu  
Examiner: A.K. Chakrabarti Art Unit: 1634 Status of Application: non-final

**Tentative Participants:**

(1) Arun K. Chakrabarti (2) Dr. Casey Case (Assignee's rep.)  
(3) Sean Brennan (Applicant's rep.) (4) \_\_\_\_\_

Proposed Date of Interview: 2.19.04 Proposed Time: 1 PM (AM/PM)

**Type of Interview Requested:**

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej</u>	<u>1</u>	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

Dr. Case (who supervised the  
inventors' research) will discuss non-obviousness of the  
claimed subject matter

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Res. No.  
Sean Brennan 39,917

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.